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PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/734,444
	Filing Date	December 12, 2003
	First Named Inventor	LAMMING, et al.
	Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	HP-200311263-1

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Derek J. Westberg (Reg. No. 40,872)
Signature	<i>[Signature]</i>
Date	May 17, 2004

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Typed or printed name	Lori L. Smith
Signature	<i>[Signature]</i>
Date	May 17, 2004

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**TRANSMITTAL
FORM**

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Filing Date	December 12, 2003
First Named Inventor	LAMMING, et al.
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| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

Remarks

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Signature	
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Typed or printed name	Lori L. Smith		
Signature		Date	May 17, 2004

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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): LAMMING, et al.

Confirmation No.:

Application No.: 10/734,444

Examiner:

Filing Date: Dec 12, 2003

Group Art Unit:

Title: PERVASIVE COMPUTING SYSTEM

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: May 17, 2004

OR

☐ I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number _____ on _____
Number of pages: _____

Typed Name: Lori L. Smith

Signature: Lori L. Smith

Respectfully submitted,

LAMMING, et al.

By Derek J. Westberg

Derek J. Westberg

Attorney/Agent for Applicant(s)

Reg. No. **40,872**

Date: **May 17, 2004**

Telephone No.: **(408) 293-9000**

**PATENT APPLICATION**

Sheet 1 of 2

PTO-1449

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

200311263-1

APPLICATION NO.

10/734,444

CONFIRMATION NO.

APPLICANT

LAMMING, et al.

FILING DATE

Dec. 12, 2003

GROUP

REFERENCE DESIGNATION**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,528,248	Jun. 18, 1996	Steiner et al.	
	1B	5,627,548	May 6, 1997	Woo et al.	
	1C	5,699,244	Dec. 16, 1997	Clark, Jr. et al.	
	1D	5,877,724	Mar. 2, 1999	Davis	
	1E	5,902,347	May 11, 1999	Backman et al.	
	1F	5,938,721	Aug. 17, 1999	Dussell et al.	
	1G	6,130,602	Oct. 10, 2000	O'Toole et al.	
	1H	6,232,870 B1	May 15, 2001	Garber et al.	
	1I	6,563,417 B1	May 13, 2003	Shaw	
	1J	6,587,835 B1	Jul. 1, 2003	Treyz et al.	
	1K	6,681,989 B2	Jan. 27, 2004	Bodin	

FOREIGN PATENT DOCUMENTS

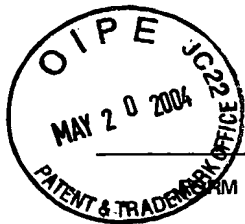
		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	Lamming, M., et al., The Design of a Human Memory Prosthesis, The Computer Journal, Vol. 37, No. 3, 1994.
	1R	Martin, T., Wearable and Ubiquitous Computing, Pervasive Computing, IEEE, Vol. 2, No. 3, July 2003, pp. 8-12.
	1S	

EXAMINER

DATE CONSIDERED

**PATENT APPLICATION**

Sheet 2 of 2

FORM PTO-1449

ATTY. DOCKET NO.

200311263-1

APPLICATION NO.

10/734,444

CONFIRMATION NO.

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(Use several sheets if necessary)

APPLICANT

LAMMING, et al.

FILING DATE

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GROUP

REFERENCE DESIGNATION**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A	6,700,533 B1	Mar. 2, 2004	Werb et al.	
	2B	6,703,930 B2	Mar. 9, 2004	Skinner	
	2C	6,735,479	May 11, 2004	Fabian et al.	
	2D				
	2E				
	2F				
	2G				
	2H				
	2I				
	2J				
	2K				

FOREIGN PATENT DOCUMENTS

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	2L					
	2M					
	2N					
	2O					
	2P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	
	2R	
	2S	

EXAMINER

DATE CONSIDERED